

# Turning the Tide on Cancer



May, 2020



# Forward-Looking Statements

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These forward-looking statements are based on Cardiff Oncology's current expectations and actual results could differ materially. There are a number of factors that could cause actual events to differ materially from those indicated by such forward-looking statements. While the list of factors presented in the 10-K is considered representative, no such list should be considered to be a complete statement of all potential risks and uncertainties. Unlisted factors may present significant additional obstacles to the realization of forward-looking statements. Forward-looking statements included herein are made as of the date hereof, and Cardiff Oncology does not undertake any obligation to update publicly such statements to reflect subsequent events or circumstances.

# Company At-A-Glance

Clinical-stage oncology therapeutics company, developing **onvansertib**, an oral and highly-selective Polo-like Kinase 1 (PLK1) inhibitor

- Selectively targets PLK1, a proven therapeutic target; overexpressed in most cancers
- Stops division of cancer cells while limiting impact to normal cells
- Proven safety and preliminary efficacy in 3 clinical programs (mCRC, mCRPC, AML)
- Presentation of efficacy data from all 3 Phase 2 clinical trials in 2020

San Diego, CA

Nasdaq: CRDF

**Clinical Development Plan:** Complete Phase 2 clinical trials of onvansertib in combination with standard-of-care therapies, in colorectal cancer, prostate cancer and acute myeloid leukemia, and advance to registrational trials

# Investment Highlights



## **Ovansertib**

1<sup>st</sup>-in-class, 3<sup>rd</sup>-generation, safe and well-tolerated, oral PLK1 inhibitor; selectively targets PLK1 and blocks cancer cell division



## **Clinical Efficacy Demonstrated**

3 ongoing clinical trials with demonstrated efficacy in patients who have developed resistance to standard-of-care or who have relapsed disease



## **Predictive Biomarkers**

Assessment of response to treatment derived from a simple blood test

## **Validating Combination Clinical Trials**



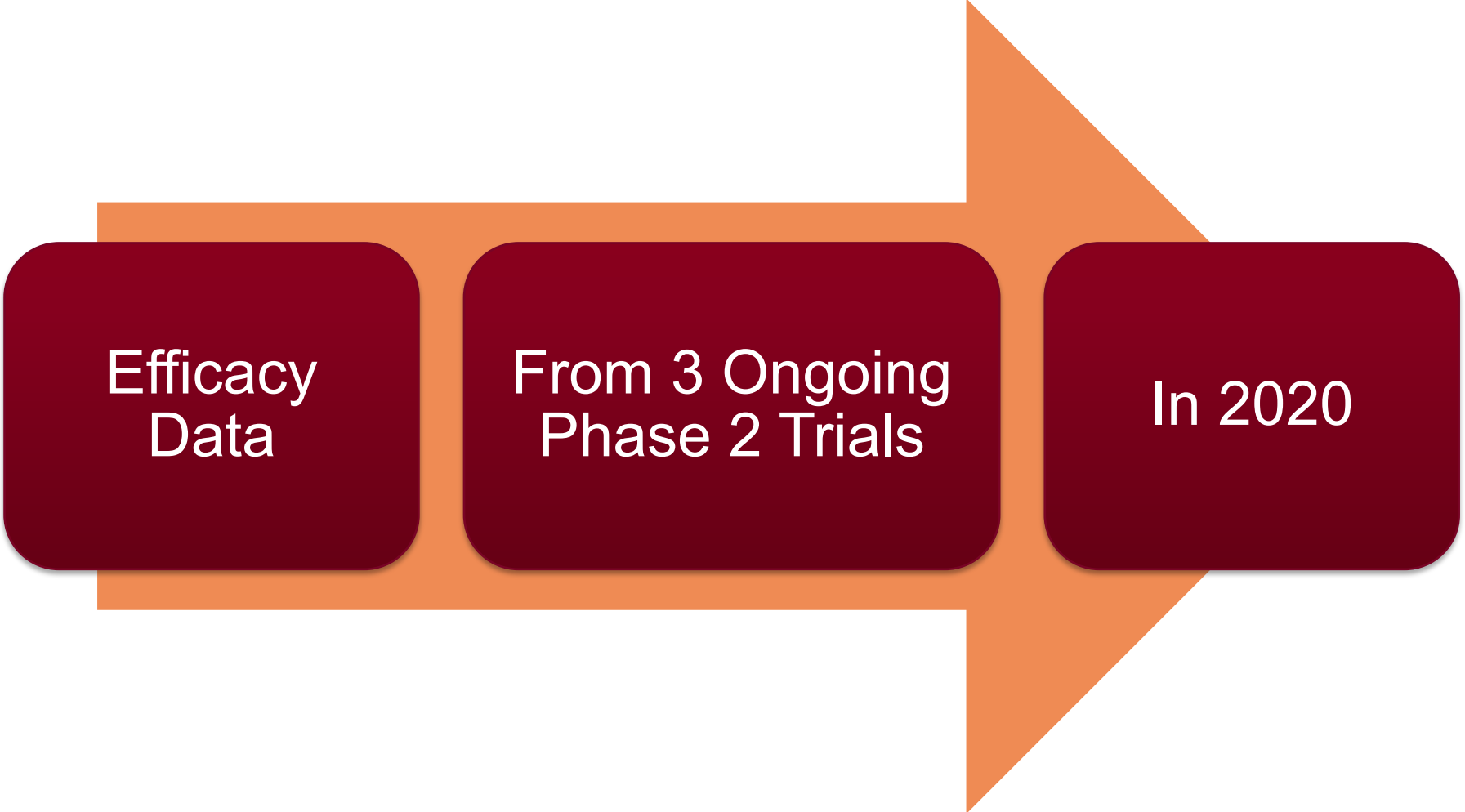
- KRAS-mutated metastatic colorectal cancer (mCRC): onvansertib + FOLFIRI<sup>®</sup>/Avastin<sup>®</sup>
- metastatic castrate-resistant prostate cancer (mCRPC): onvansertib + Zytiga<sup>®</sup>
- acute myeloid leukemia (AML): onvansertib + decitabine



## **Established Manufacturing and Drug Supply**

FDA approved, GMP facility for production of raw material and finished drug

# Significant Value Creation in 2020

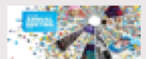


Efficacy  
Data

From 3 Ongoing  
Phase 2 Trials

In 2020

# 2020 Key Inflection Points

| 1H2020 Key Inflection Points                         | Event                                                                                           | Timing                                      |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------------|
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | ASCO-GI      | January 25 <sup>th</sup>                    |
| Prostate Cancer: Phase 2 Efficacy Data               | ASCO-GU      | February 13 <sup>th</sup>                   |
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | AACR         | April 27 <sup>th</sup>                      |
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | ASCO         | May 29 <sup>th</sup> – June 2 <sup>nd</sup> |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | EHA          | June 11 <sup>th</sup> – 14 <sup>th</sup>    |
| Acute Myeloid Leukemia: Biomarker Data               | AACR         | June 22 <sup>nd</sup> – 24 <sup>th</sup>    |
| 2H2020 Key Inflection Points                         | Event                                                                                           | Timing                                      |
| Prostate Cancer: Phase 2 Efficacy Data               | ESMO         | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Colorectal Cancer: Phase 2 Efficacy Data             | ESMO         | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | ESMO        | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Prostate Cancer: Phase 2 Efficacy Data               | EMUC       | Nov. 12 <sup>th</sup> – 15 <sup>th</sup>    |
| Colorectal Cancer: Phase 2 Efficacy Data             | ESMO Asia  | Nov. 20 <sup>th</sup> – 22 <sup>nd</sup>    |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | ASH        | Dec. 5 <sup>th</sup> – 8 <sup>th</sup>      |

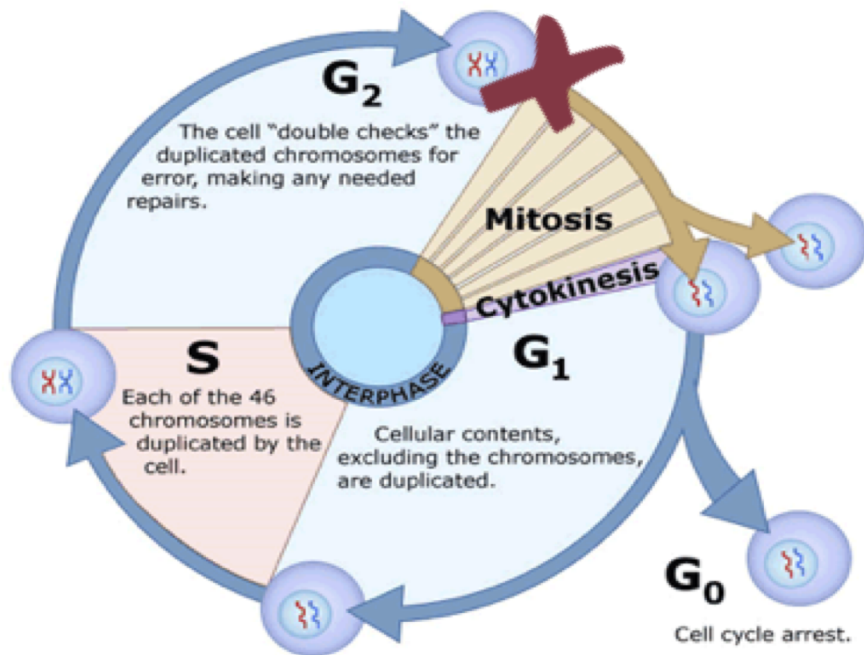
# Onvansertib is a Platform for Value Creation

- ▶ **Clinical Programs Based on Scientific Rationale:** supported by preclinical and synergy data, and integration of biomarkers to rapidly assess response to treatment
- ▶ **Addressing Significant Medical Need for New Treatment Options:**
  - Overcome resistance to standard-of-care drugs
  - Extend response to treatment and progression-free survival (PFS)

|                                 | Indication | Preclinical                                                                                                               | Phase 1/1b | Phase 2/2b | Next Milestone |
|---------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------|------------|------------|----------------|
| <b>Onvansertib Solid Tumors</b> | mCRC       | <i>Onvansertib + FOLFIRI/Avastin® in Second-Line KRAS-Mutated Metastatic Colorectal Cancer</i>                            |            |            | Q2 2020 ASCO   |
|                                 | mCRPC      | <i>Onvansertib + Zytiga® (abiraterone)/prednisone in Zytiga-Resistant Castration-Resistant Metastatic Prostate Cancer</i> |            |            | Q3 2020 ESMO   |
| <b>Onvansertib Hematologic</b>  | AML        | <i>Onvansertib + Decitabine in Relapsed or Refractory Acute Myeloid Leukemia</i>                                          |            |            | Q2 2020 EHA    |

# Onvansertib: Stops Cancer Cell Division and Synergistic in Combination Regimens

Inhibition of PLK1 causes arrest of cell division and subsequent cell death<sup>1</sup>

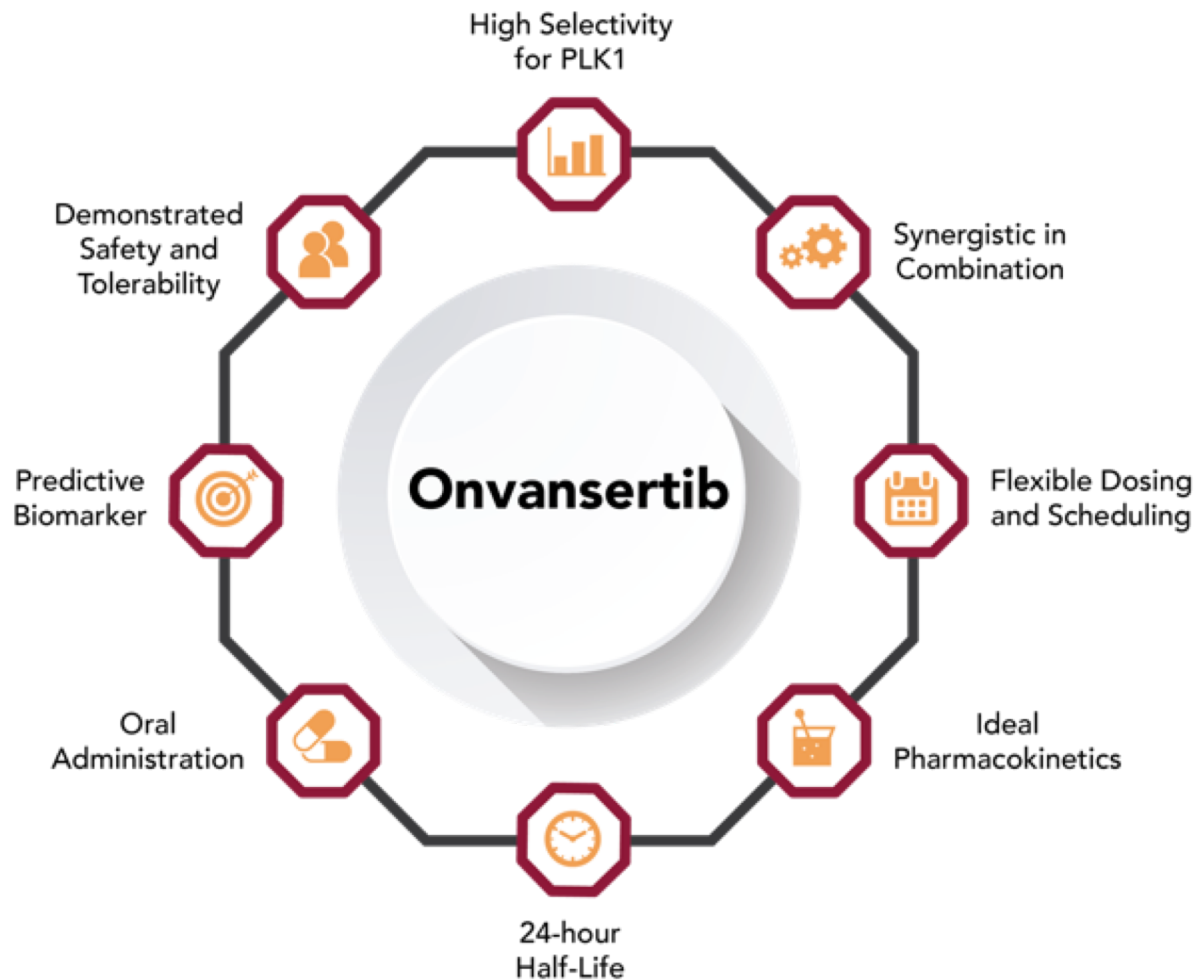


Synergistic in combination with chemotherapies and targeted therapeutics<sup>2</sup>



<sup>1</sup>Zitouni et al., Nat Rev Mol Cell Biol. 2014 Jul;15(7):433-52; <sup>2</sup>Data on File – Cardiff Oncology

# Optimal Attributes for a Safe and Effective Drug



# Indication: Second-Line Treatment of KRAS-Mutated Metastatic Colorectal Cancer (mCRC)

Phase 1b/2 open-label trial of onvansertib + FOLFIRI/bevacizumab

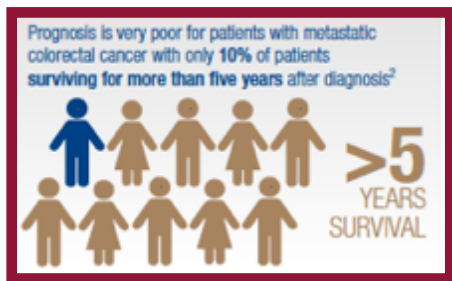
**USC Norris Comprehensive  
Cancer Center**  
Keck Medicine of **USC**

Principal Investigator  
*Dr. Heinz-Josef Lenz*



# Improving Response and Progression-Free Survival

## Metastatic Colorectal Cancer (mCRC)



- ▶ Only a 4% response rate to second-line standard-of-care chemotherapy + bevacizumab<sup>1</sup>
- ▶ Onvansertib + FOLFIRI<sup>®</sup> significantly reduces tumor growth<sup>2</sup>
- ▶ Biomarkers drive therapy decisions<sup>3</sup>
- ▶ KRAS mutation is a biomarker for clinical response to onvansertib<sup>4</sup>
- ▶ KRAS mutation in 50% of mCRC<sup>5</sup>

### Establishing a Successful Path Forward:

- Positive results from Phase 1b/2 trial may provide an opportunity for Phase 2b registrational trial and Fast Track Designation
- Biomarker increases likelihood of success by enabling rapid assessment of KRAS mutation as an early predictor of response to treatment

<sup>1</sup>Kubicka et al, Annals of Oncology 2013; 2342–2349; <sup>2</sup>Investigator Brochure, Data-on-file, Cardiff Oncology; <sup>3</sup>Van Custem E, Borràs JM, Castells A et al. Improving outcomes in colorectal cancer. Where do we go from here? Eur J Cancer. 2013 Jul; 49(11): 2476–85; <sup>4</sup>Tie et al., 2015, Annals of Oncology 26: 1715–1722; <sup>5</sup>Cancer Genomic Atlas Genome, Nature, 2012

# Clinical Outcomes in Patients Receiving Second-Line Treatment – Chemotherapy + bevacizumab<sup>1</sup>

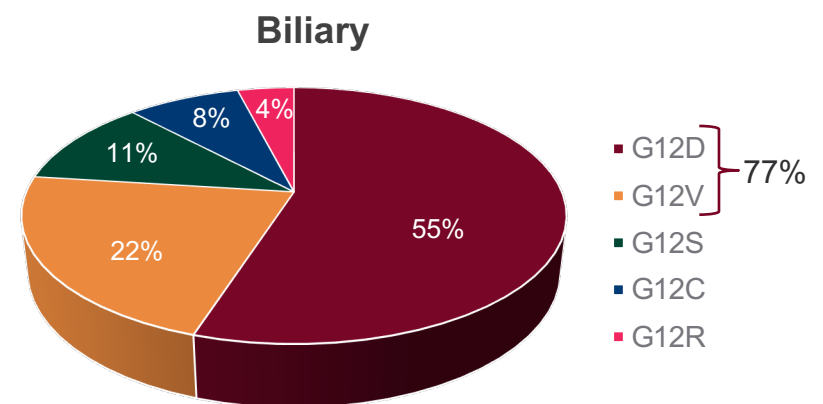
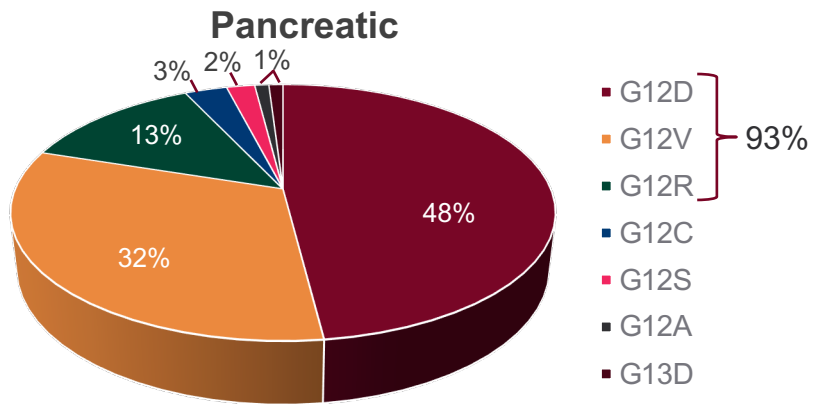
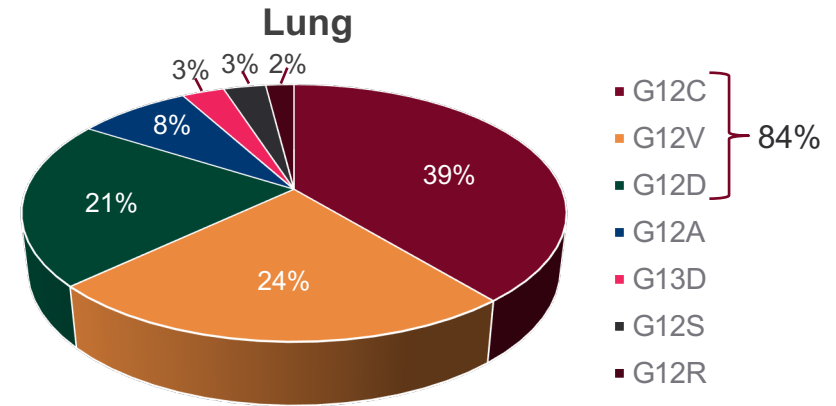
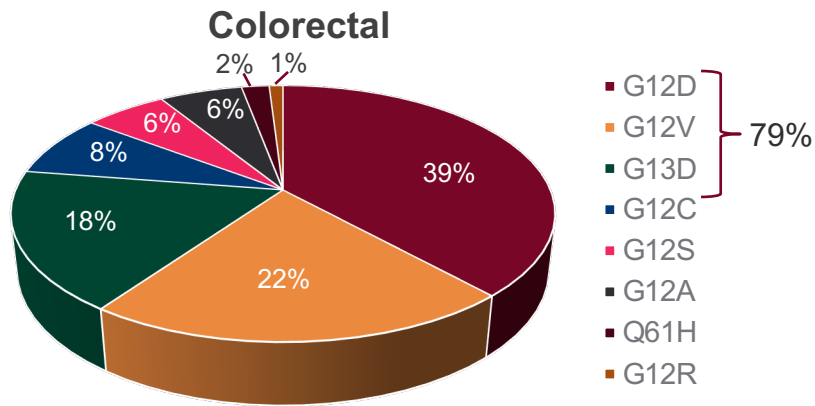
| Outcome Measure           | Chemo + Bevacizumab | Chemo + Bevacizumab<br>KRAS Mutated CRC |
|---------------------------|---------------------|-----------------------------------------|
| Progression-Free Survival | 5.7 months          | <b>5.5 months</b>                       |
| Overall Survival          | 11.2 months         | <b>10.4 months</b>                      |
| Complete Response         | 1%                  | <b>1%</b>                               |
| Partial Response          | 4%                  | <b>3%</b>                               |
| Overall Response Rate     | 5%                  | <b>4%</b>                               |

- In patients with KRAS-mutated colorectal cancer, the overall response rate is 4% and progression-free survival is 5.5 months

<sup>1</sup>Kubicka et al, Annals of Oncology 2013; 2342–2349

# Multiple KRAS Mutation Subtypes are Present and Differ in Prevalence Across Cancer Types<sup>1</sup>

## Onvansertib is Agnostic to KRAS Subtype



<sup>1</sup>COSMIC (Catalogue of Somatic Mutations in Cancer) database is the frequency of KRAS mutations across the majority of human cancers

# Rationale for Onvansertib + FOLFIRI® /Avastin® in KRAS-Mutated Metastatic CRC

## Onvansertib Targets KRAS Mutations Through Downstream Effects on Tumor Cell Division



Cracking KRAS

### ► Synthetic Lethality

- CRC tumor cells harboring KRAS mutation are more vulnerable to cell death with PLK1 inhibition<sup>1</sup>
- KRAS-mutated cells are more sensitive to onvansertib than KRAS wild-type isogenic cells<sup>2</sup>

### ► Synergy

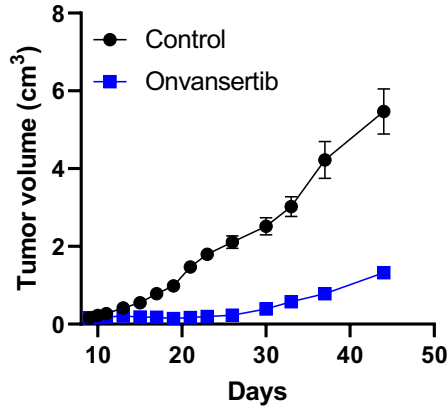
- Onvansertib + irinotecan (the “IRI” in FOLFIRI) are synergistic in CRC cell lines<sup>3</sup>
- Combination demonstrated significantly greater tumor growth inhibition than either drug alone

### ► Proof-of-Concept Clinical Response

- Phase 1 trial in solid tumors: 3 of 5 patients with stable disease had KRAS mutation; 2 in CRC and 1 in pancreatic cancer<sup>4</sup>

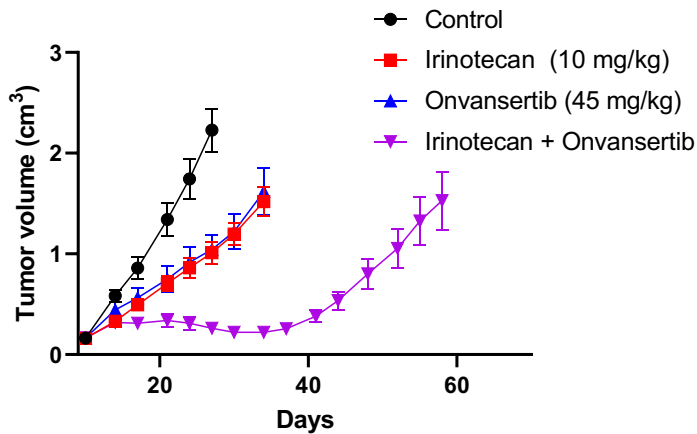
<sup>1</sup>Luo J, Elledge SJ, Cell 2009; <sup>2</sup>Cardiff Oncology, Investigator Brochure, 2019; <sup>3</sup>Valsasina et al., Mol Cancer Ther 2012; <sup>4</sup>Weiss et al, Invest New Drugs, 2017

# Anti-tumor Activity of Onvansertib as Single Agent and Synergy in Combination with Irinotecan

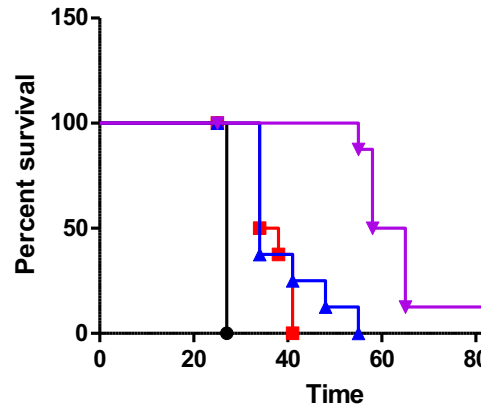


► Anti-tumor activity of onvansertib in a KRAS-mutant CRC xenograft model (HCT116) as single agent and in combination with irinotecan<sup>1-3</sup>

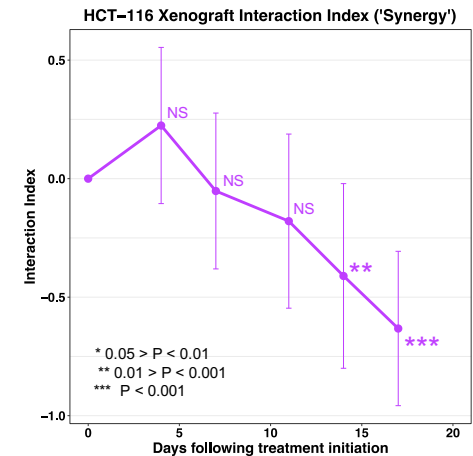
## Tumor Growth



## Survival



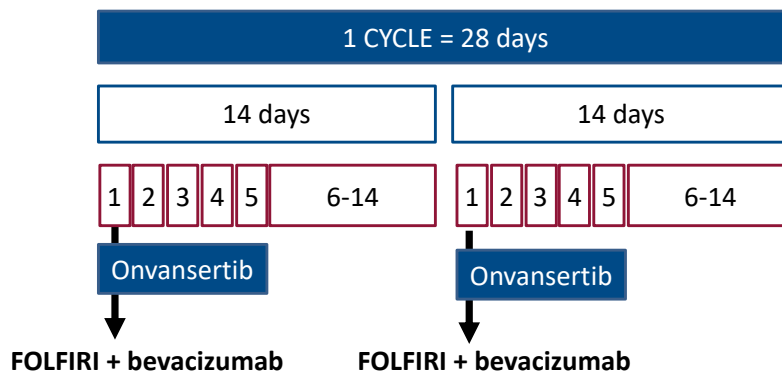
## Synergy



<sup>1</sup>Valsasina et al., 2012, Mol Cancer Ther, 11: 1006-1016; <sup>2</sup>Data on file – Cardiff Oncology; <sup>3</sup>Method used for testing synergy: Wu et al., 2012. J Biopharm. Stat. 22(3): 535-543

# Demonstrating Clinical Benefit in KRAS-Mutated CRC as New Second-Line Treatment Option

**Trial Design: Phase 1b/2, multi-center, open label trial in KRAS-mutated mCRC**



## Efficacy Endpoints:

**Primary:** overall response in patients who receive  $\geq 1$  cycle (2 courses) of treatment

**Correlative Biomarker:** decreases in KRAS mutation burden and response to treatment

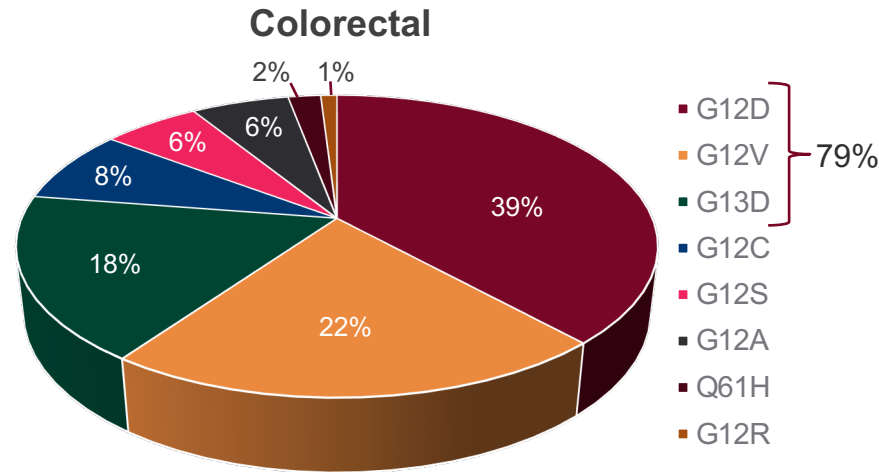
**Standard-of-Care FOLFIRI®/Avastin® Clinical Response in 2<sup>nd</sup> Line KRAS-Mutated CRC Tumors:** overall response is 4%; median progression-free survival (PFS) is 5.5 months<sup>1</sup>

## What is Clinical Trial Success:

- $\geq 5$  of 26 (~20%) patients achieve clinical response confirmed by radiographic scan
- Patients achieve median progression-free survival (PFS) of  $\geq 6$  months

<sup>1</sup>Kubicka et al, Annals of Oncology 2013; 2342–2349

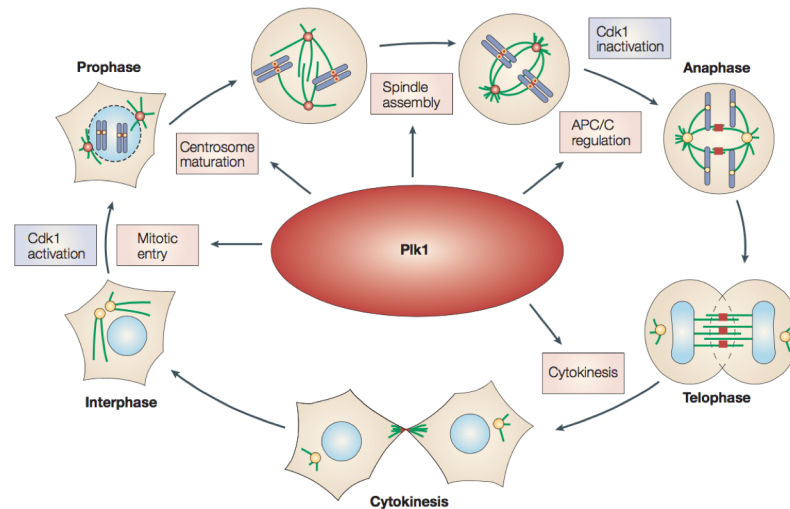
# Clinical Data Shows Onvansertib Effectively Targets Multiple KRAS Mutation Subtypes in CRC



- ▶ To date, tumor shrinkage observed in KRAS mutations G12A, G12V, G12D, G13D which make up 85% of KRAS subtypes in CRC<sup>1</sup>
- ▶ Other drugs in development target only the KRAS G12C mutation, which accounts for ~8% of the KRAS mutations in CRC

<sup>1</sup>Jones et al. Specific Mutations in KRAS Codon 12 are Associated with Worse Overall Survival in Patients with Advanced and Recurrent Colorectal Cancer; BJC Feb. 2017

# PLK1 Inhibition Induces Profound Arrest and Death of Tumor Cells in KRAS-Mutated CRC

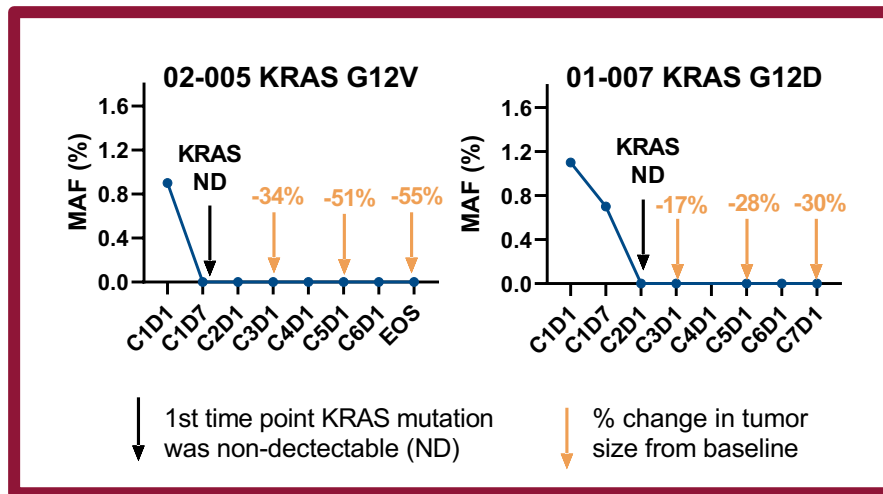


- ▶ PLK1 is overexpressed in CRC and its high expression is associated with lower overall survival and poorer clinical parameters<sup>1</sup>
- ▶ PLK1 inhibition has been identified to have synthetic lethality with KRAS mutant in CRC cells<sup>2</sup>
  - PLK1 inhibition induced more profound mitotic arrest and apoptosis in KRAS mutant CRC cells than wild-type

<sup>1</sup>Weichert W., World J Gastroenterol 2005; <sup>2</sup>Luo J., Cell, 2009

# Response to Onvansertib Correlates with Decreases in KRAS Mutations to Undetectable Levels in Plasma

- ▶ Decreases in plasma KRAS mutation level has been demonstrated to be an early marker for therapeutic response<sup>1</sup>
- ▶ 7 of the 8 patients had a KRAS mutation detected by ctDNA analysis at baseline (ddPCR and NGS)
- ▶ Changes in KRAS mutant during cycle 1 of treatment were highly predictive of tumor regression:
  - 5 patients had a decrease in KRAS mutant to non-detectable level in cycle 1 (28 days) and subsequent tumor regression at 8 weeks (C3D1)
  - 2 patients had detectable KRAS mutant at end of cycle 1 and showed tumor growth at C3D1



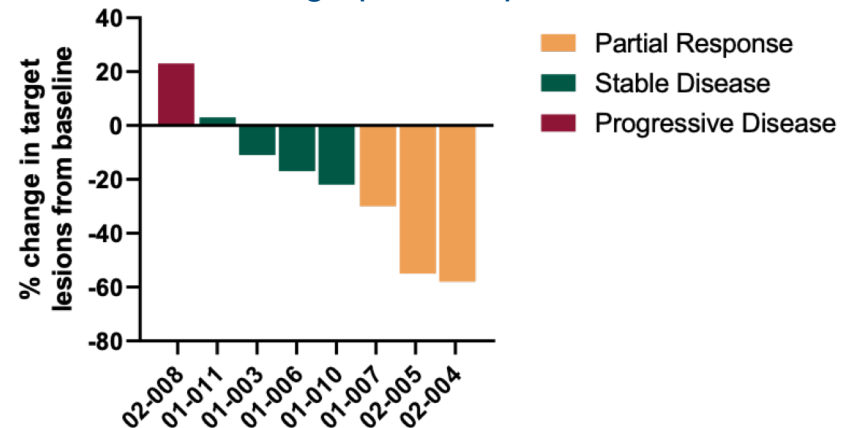
<sup>1</sup>Tie et al., 2015, Annals of Oncology 26: 1715–1722; <sup>2</sup>BioRad Droplet Digital Assays

# Response to Treatment Confirmed by Radiographic Scan and Progression-Free Survival

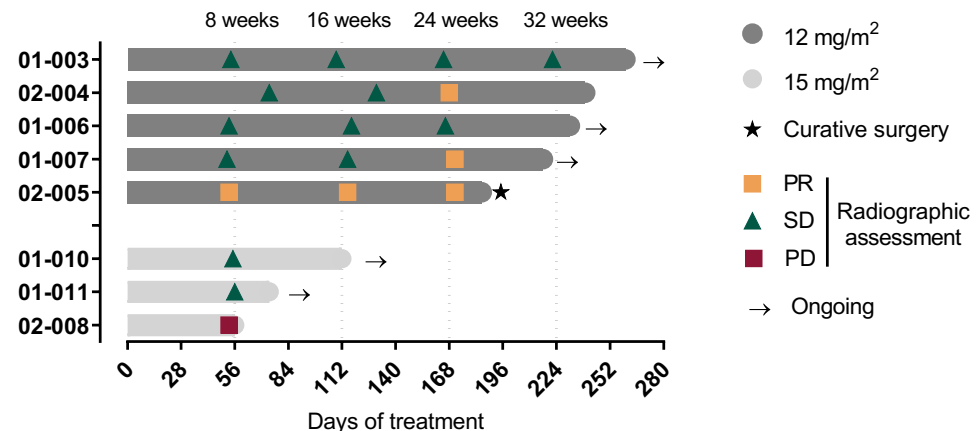
- ▶ 7 patients were evaluable for efficacy:
  - 6 of 7 (86%) had clinical benefit of partial response (PR) + stable disease (SD)
  - 3 of 7 (42%) achieved a PR; 1 patient (02-005) went on to have successful curative surgery

- ▶ Responses appear durable: progression-free survival (PFS) of >6.5 months (to-date) with patients continuing on treatment

## Radiographic Response



## Progression-Free Survival



# Onvansertib is Showing Promise as a New Therapeutic Option for KRAS-Mutated mCRC

- ▶ The 1st two dose levels (onvansertib 12 mg/m<sup>2</sup> and 15 mg/m<sup>2</sup>) were cleared for safety; the 3rd dose level (onvansertib 18 mg/m<sup>2</sup>) is enrolling
- ▶ Clinical benefit (SD + PR) was observed in 7 (88%) of the 8 evaluable patients
- ▶ At the first two dose levels (onvansertib 12 mg/m<sup>2</sup> and 15 mg/m<sup>2</sup>)
  - 4 patients had SD; 3 patients achieved PR (>30% decrease from baseline); 1 patient proceeded to successful curative surgery
  - Responses appear durable: PFS of >6.7 months to-date; 5 patients remain on treatment
- ▶ 7 of the 8 patients evaluable for efficacy had a detectable KRAS mutant at baseline:
  - In 5 patients, KRAS mutant decreased to undetectable level within the 1<sup>st</sup> cycle and KRAS mutant decrease to undetectable level preceded tumor shrinkage, supporting the predictive value of liquid biopsy
  - 2 patients had detectable KRAS mutant at end of cycle 1 and showed tumor growth at Cycle 3 Day 1

# Other Drugs Currently in Development Do Not Address the Prevalent KRAS Mutations in CRC

| Company and Drug Candidate                            | KRAS Coverage                                                                                                                 | KRAS Program(s) and Development Stage                                                                                                                                                        | Available Data and Status                                                                                                                                                                                                                                                                                                         |
|-------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cardiff Oncology<br>Onvansertib (PLK1 inhibitor)      | <ul style="list-style-type: none"> <li>Pan-KRAS mutations (G12D, G12V, G12C, G13D, G12A, G12R, G12S, Exon 3 and 4)</li> </ul> | <ul style="list-style-type: none"> <li>Phase 1b/2 trial in KRAS mutated mCRC (NCT03829410)</li> </ul>                                                                                        | <ul style="list-style-type: none"> <li>Preclinical activity in CRC tumors with different KRAS mutations</li> <li>Phase 1b: 7 patients evaluable for response</li> <li>6 of 7 (86%) had clinical benefit (PR + SD)</li> <li>Responses appear durable: PFS of ~6 months to-date with all patients remaining on treatment</li> </ul> |
| Mirati Therapeutics<br>MRTX849<br>KRAS G12C Inhibitor | <ul style="list-style-type: none"> <li>KRAS G12C+ tumors, only [occurrence: NSCLC = 14%; CRC = 4%; Panc = 2%]</li> </ul>      | <ul style="list-style-type: none"> <li>Phase 1b/2 KRYSTAL Trial (NCT03785249) in NSCLC, CRC</li> </ul>                                                                                       | <ul style="list-style-type: none"> <li>ORR - SD + PR (n=10) <ul style="list-style-type: none"> <li>NSCLC = 3/6 (PR)</li> <li>CRC = 1/4 (PR)</li> </ul> </li> <li>Phase 1b/2 monotherapy expansion cohorts enrolling; potential for single arm registration</li> </ul>                                                             |
| Amgen<br>AMG510<br>Covalent Inhibitor                 | <ul style="list-style-type: none"> <li>G12C+ tumors, only</li> </ul>                                                          | <ul style="list-style-type: none"> <li>Phase 1/2 trial in KRAS G12C mutated solid tumors (NCT03600883)</li> </ul>                                                                            | <ul style="list-style-type: none"> <li>ORR - SD + PR (n=42) <ul style="list-style-type: none"> <li>NSCLC = 7/13 (PR)</li> <li>CRC = 1/29 (PR)</li> </ul> </li> <li>FDA Fast Track Designation (NSCLC)</li> </ul>                                                                                                                  |
| Boehringer<br>BI-1701963<br>(SOS1:KRAS inhibitor)     | <ul style="list-style-type: none"> <li>G12 and G13</li> </ul>                                                                 | <ul style="list-style-type: none"> <li>Preclinical</li> <li>Phase 1 trial monotherapy and in combination with MEK-inhibitor trametinib in KRAS-mutated solid tumors (NCT04111458)</li> </ul> | <ul style="list-style-type: none"> <li>Preclinical data shows it blocks tumor growth for G12/G13 mutations</li> </ul>                                                                                                                                                                                                             |

Indication:  
metastatic Castration-Resistant Prostate Cancer (mCRPC)



Dana-Farber/Harvard  
Cancer Center



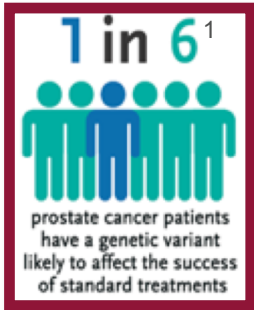
A Cancer Center Designated by the  
National Cancer Institute

Principal Investigator  
*Dr. David Einstein*



# Overcoming Resistance and Extending Efficacy

## Metastatic Castrate-Resistant Prostate Cancer (mCRPC)



- ▶ Resistance develops to standard-of-care therapy, Zytiga® and Xtandi®, within 9-15 months<sup>2</sup>
- ▶ Onvansertib + Zytiga® are synergistic in combination
- ▶ Combination significantly increase arrest of cell division
- ▶ Up to 40% AR-V7 resistance; very aggressive mutation and no effective treatment options<sup>3</sup>

### Establishing a Successful Path Forward:

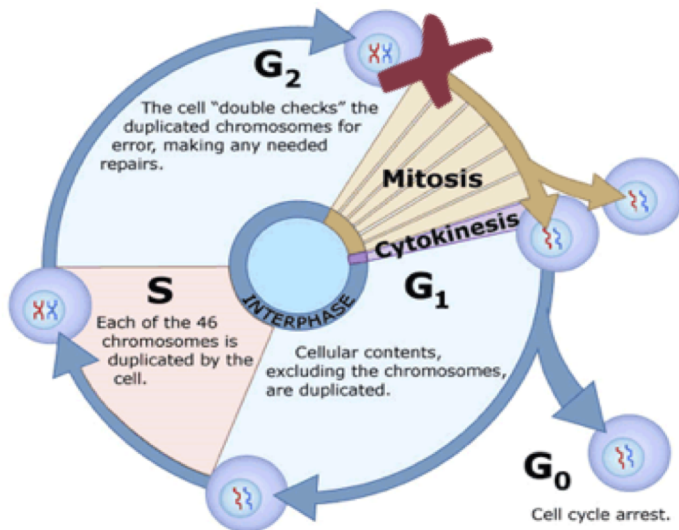
- Positive results from Phase 2 trial may provide an opportunity for a Phase 2b registrational trial
- Proactively assessing AR-V7 enables correlation of status (+/-) with response to onvansertib treatment
- Effective treatment of AR-V7+ patients could lead to Breakthrough Designation

<sup>1</sup>Nicolosi P, Ledet E, Yang S et al. Prevalence of germline variants in prostate cancer and implications for current genetic testing guidelines. JAMA Oncol. Published online February 7, 2019; <sup>2</sup>Antonarakis, Emmannel – Current Understanding of Resistance to Abiraterone and Enzalutamide in Advanced Prostate Cancer; Clinical Advances in Hematology & Oncology – May 2016 – Volume 14, Issue 5; <sup>3</sup>Armstrong et al., 2019, JCO 37: 1120-1129.

# Underlying Mechanism of Action (MOA) for Onvansertib + Zytiga® in CRPC

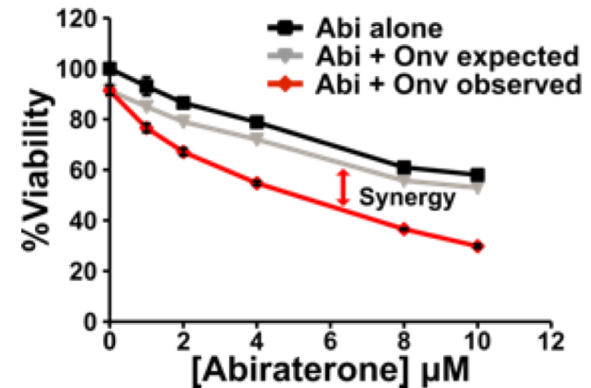
## Onvansertib Mechanism of Action

Inhibits tumor cell division (mitosis)  
by inducing G2/M arrest

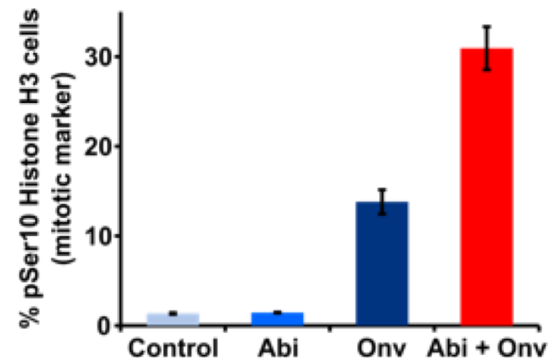


<sup>1</sup>Patterson & Yaffe, 2019, MIT

## Onvansertib + Zytiga® (abiraterone) demonstrates synergy in mCRPC model (C4-2)<sup>1</sup>

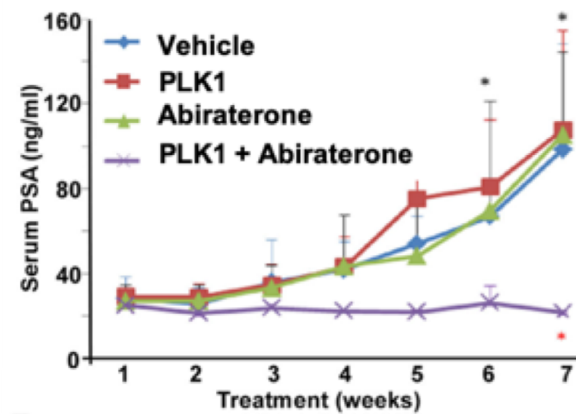
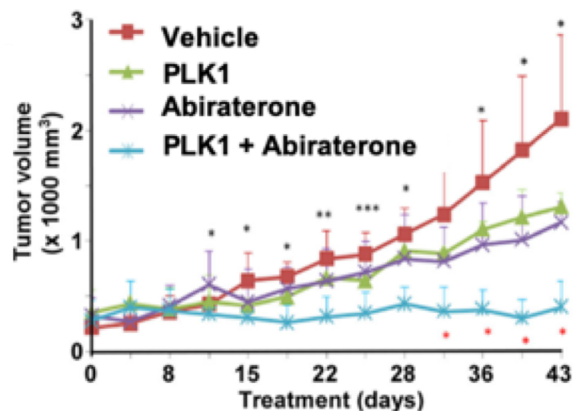


## Onvansertib + Zytiga® (abiraterone) significantly increase mitotic arrest<sup>1</sup>



# PLK1 Inhibition + Abiraterone Efficacy in mCRPC Model

## PLK1 Inhibition Enhances the Efficacy of Androgen Signaling Blockade in Castration-Resistant Prostate Cancer



- The combination of PLK1 inhibition + abiraterone decreases tumor growth and demonstrates a decrease in PSA within an AR-V7 model

<sup>1</sup>Zhang et al., 2014, Cancer Res

# Phase 2 Clinical Trial in mCRPC

## Disease Control Assessed by PSA Stabilization

**Trial Design:** Phase 2 multi-center, open label trial in mCRPC

|                         | Dosing Schedule                                                       | Duration            | Efficacy Endpoint                               |
|-------------------------|-----------------------------------------------------------------------|---------------------|-------------------------------------------------|
| <b>Cohort 1</b><br>n=32 | Onvansertib 24mg/m <sup>2</sup> Days 1-5<br>(21-day cycle) + Zytiga®  | 4 Cycles = 12 Weeks | Disease Control<br>PSA Stabilization or Decline |
| <b>Cohort 2</b><br>n=32 | Onvansertib 24mg/m <sup>2</sup> Days 1-5<br>(14-day cycle) + Zytiga®  | 6 Cycles = 12 Weeks | Disease Control<br>PSA Stabilization or Decline |
| <b>Cohort 3</b><br>n=32 | Onvansertib 24mg/m <sup>2</sup> Days 1-14<br>(21-day cycle) + Zytiga® | 4 Cycles = 12 Weeks | Disease Control<br>PSA Stabilization or Decline |

**Eligibility Criteria:** initial resistance to Zytiga; 2 consecutive rises in PSA levels

**Efficacy Endpoint – Internationally Recognized Prostate Cancer Working Group (PCWG)**

**Primary:** disease control evaluated as PSA decline or stabilization (PSA rise <25% over baseline)

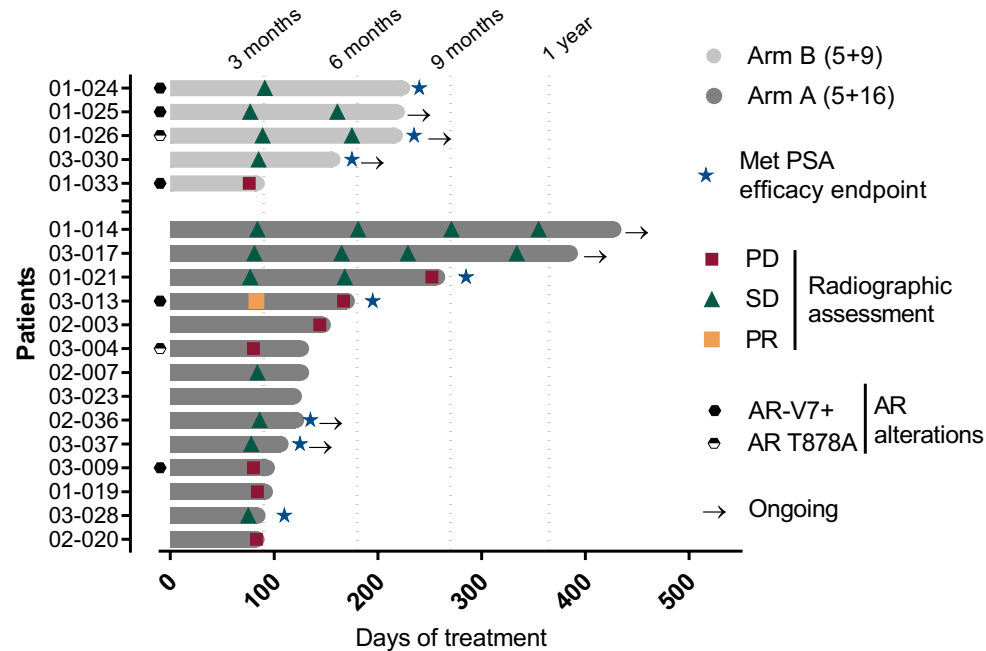
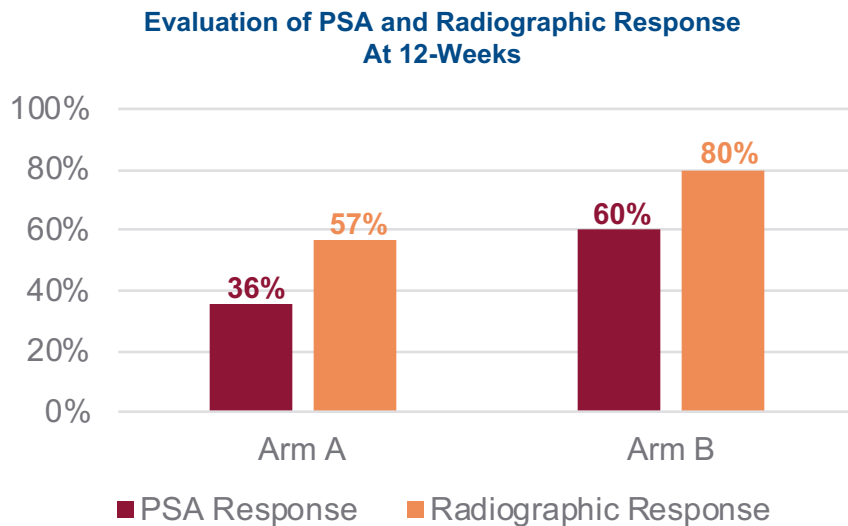
### What is Clinical Trial Success:

- ≥6 of 32 (~20%) patients achieve primary efficacy endpoint of disease control at 12 weeks (PSA stabilization or decrease); confirmed by radiographic scan
- Patients achieve median RPFS of ≥6 months

Note: radiographic assessment by RECIST v1.1 [CR = disappearance of all target lesions, PR = ≥30% decrease, PD = ≥20% increase, SD = does not meet criteria for PR nor PD]

# Efficacy Demonstrated in Zytiga<sup>®</sup>-Resistant Patients Treated with Onvansertib

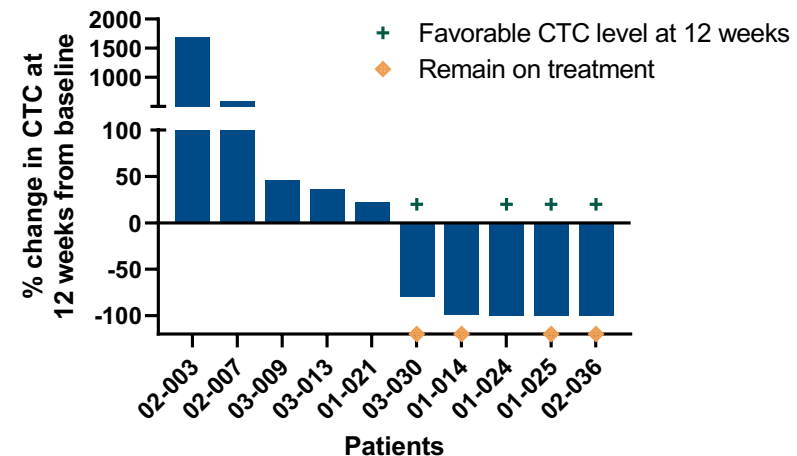
- ▶ Overall, 63% (12 of 19) of evaluable patients achieved partial response (PR) or stable disease (SD) following 12 weeks of treatment with onvansertib + abiraterone
- ▶ Response to treatment was evaluated based on PSA values (primary endpoint) and radiographic scans



# Onvansertib-Induced CTC Decrease is Associated with Progression-Free Survival

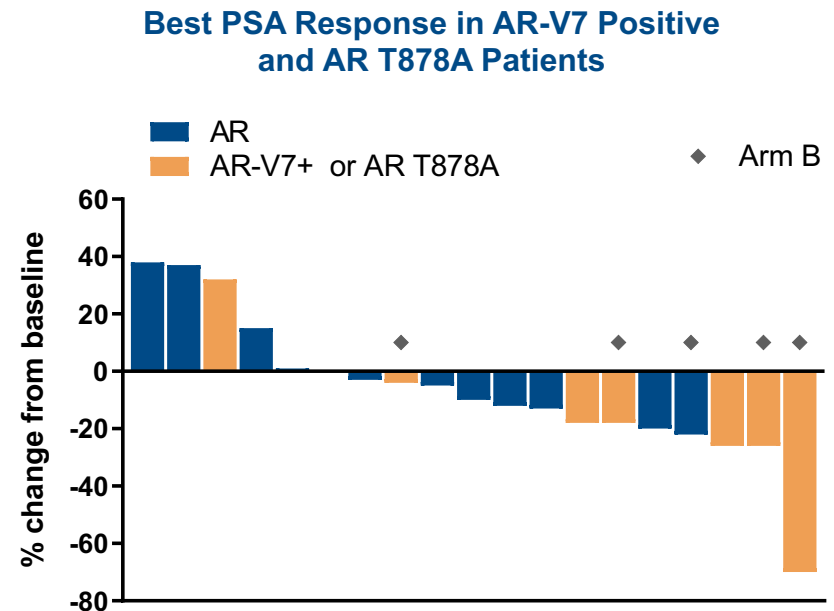
- ▶ CTC count, reported as favorable or unfavorable (<5 versus  $\geq 5$  CTC/7.5mL of blood, respectively) is a prognostic factor for survival in CRPC and the conversion from unfavorable to favorable is associated with improved survival<sup>7</sup>
- ▶ At baseline, 25 (78%) patients had unfavorable CTC count with median of 19 CTC/7.5mL
- ▶ 10 of the unfavorable patients were re-analyzed after 12 weeks of treatment
  - 5 (50%) patients had a of 80% CTC decrease, including 2 AR-V7+ patients (01-024 and 01-025)
  - 4 (40%) patients converted from unfavorable to favorable CTC level (<5 CTC/7.5mL)
  - 3 (30%) patients had no detectable CTC
  - Median time on treatment for patients with decrease CTC (n=5) is 7 months to-date, with 4 patients remaining on treatment
- ▶ Conversely, median time on treatment for patients with increase CTC (n=5) was 5 months, and none of these patients remain on treatment

**% Change in CTC: 12-Weeks vs Baseline in Patients with Unfavorable CTC Level at Baseline**



# Efficacy Observed in Patients with Abiraterone-Resistant AR Alterations

- ▶ AR mechanisms of resistance to abiraterone include the expression of the constitutively active AR splice variant AR-V7 and the AR gain-of function point mutation T878A<sup>6</sup>
- ▶ Among the 19 patients who completed the 12-week treatment (Arm A + B):
  - 5 patients were AR-V7+ at baseline
  - 2 patients had AR T878A mutations at baseline
- ▶ Onvansertib showed efficacy in patients with AR alterations (N=7):
  - 6 (86%) patients had a decrease in PSA levels with the addition of onvansertib
  - 4 (57%) patients had SD or PR at 12 weeks with 3 (43%) patients achieving the primary efficacy endpoint
  - 3 patients have or had progression-free survival of >7 months, 2 patients remain on treatment



# Indication: Acute Myeloid Leukemia (AML)



Principal Investigator  
*Dr. Amer Zeidan*



# Addressing the Need for New Treatment Options

## Relapsed Acute Myeloid Leukemia (AML)

### CHANGING THE TREATMENT PARADIGM

- ▶ 5-year survival rate of only 25%<sup>1</sup>
- ▶ Standard-of-care is venetoclax plus azacytidine or decitabine; resistance develops in ~11 months<sup>2</sup>
- ▶ Onvansertib induces cell death in AML model resistant to Venclexta®<sup>3</sup>

### Establishing a Successful Path Forward:

- Positive results from Phase 2 trial and Orphan Drug Designation may provide an opportunity for a Phase 2b registrational trial
- Opportunity to treat patients who relapse following first-line venetoclax
- Biomarker identifies patients most likely to respond, increasing likelihood of success

<sup>1</sup>National Cancer Institute SEER 2016; <sup>2</sup>DiNardo et al, Blood, 2019 <sup>2</sup>Valsasina et al., Mol Cancer Ther; 11(4) April 2012; <sup>3</sup>Data on file – Cardiff Oncology

# Providing a New, Safe and Effective Treatment

## Trial Design: Phase 2 multi-center, open label trial in AML

Onvansertib +Decitabine

Relapsed or Refractory  
Patients (n=32)

Onvansertib 60mg/m<sup>2</sup> Days 1-5  
(21-28 Day Cycle)

## Efficacy Endpoint

**Primary:** safety and preliminary efficacy

**Correlative Biomarker:** Assess PLK1 inhibition (target engagement) by measuring changes in the PLK1 substrate pTCTP; evaluate predictive biomarkers associated with response to treatment

**Current Standard-of-Care Clinical Response:** Hypomethylating agents (decitabine and azacytidine) is 16.3% and IDH Inhibitors, ivosidenib (Agiros), is 30.4%; enasidenib (Celgene) is 26.6%<sup>1-3</sup>

## What is Clinical Trial Success:

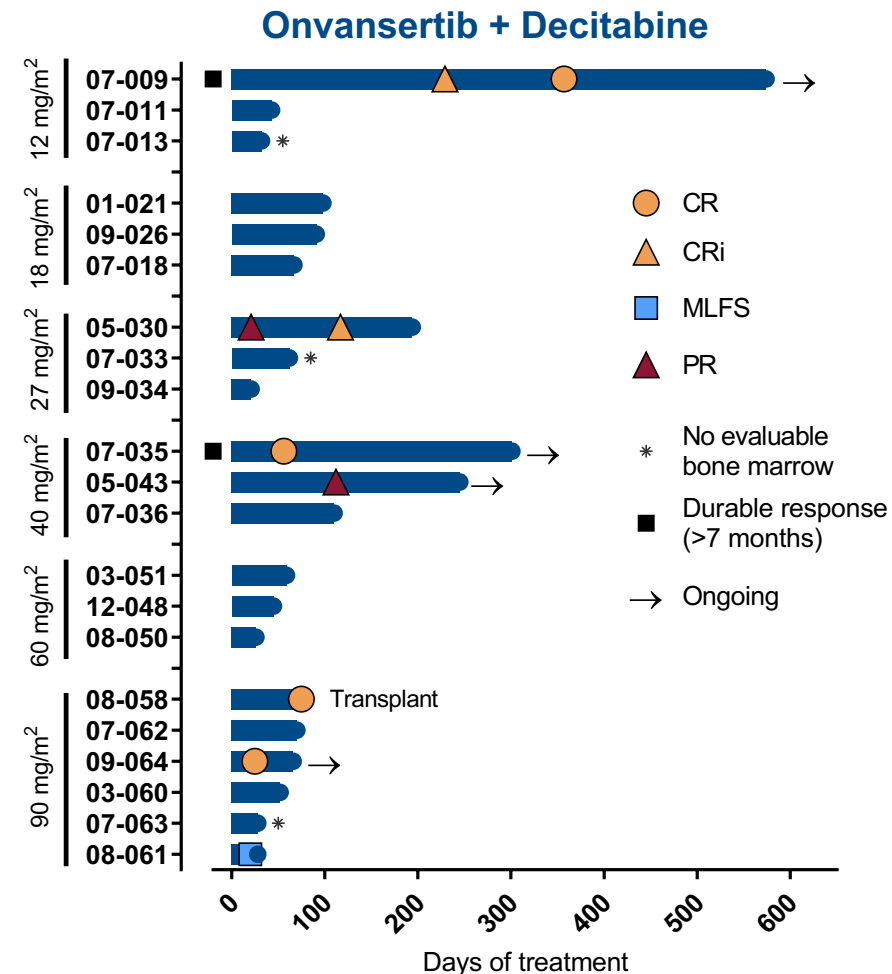
- 10 of 32 (~30%) achieve complete response (CR + CRi)
- Median overall survival of >2 months for relapsed/refractory AML patients

<sup>1</sup>Stahl et al., Blood Adv. 2018 Apr 24;2(8):923-932; <sup>2</sup>DiNardo et al, N Engl J Med. 2018 Jun 21;378(25):2386-2398; <sup>3</sup>Stein et al., Blood. 2017 Aug 10;130(6):722-731

# Phase 1b Completed Trial Efficacy Summary

Patients Treated with  $\geq 1$  Cycle (n=36)

- ▶ At the 4 higher dose levels (27 to 90 mg/m<sup>2</sup>), CR/CRi was observed in:
  - 5 of 16 (31%) patients in the decitabine Arm
- ▶ Median time to achieve CR/CRi was 4 cycles (range 1-7)
- ▶ Durable responses for >7 months
- ▶ 4 of the 6 patients remain on treatment and in remission
  - Duration of CR/CRi is respectively: 1.5 – 7– 8 and 11.5 months
- ▶ 2 of the 6 responders discontinued treatment:
  - 1 patient proceeded to transplant following complete response
  - 1 patient progressed 2.5 months following CRi



# Conclusions

## Phase 1b Study of Onvansertib in AML<sup>1</sup>

### ► **Safety: onvansertib treatment was well tolerated**

- MTD/RP2D was established at 60 mg/m<sup>2</sup> in both arms and no DLT was observed through this dose level
- Onvansertib-related toxicities were primarily on-target hematological events, in accordance with its mechanism of action and prior Phase 1 clinical study

### ► **Efficacy: complete response (CR/CRi) was observed in 6 patients**

- At a wide range of onvansertib doses: 27 mg/m<sup>2</sup> (2), 40 mg/m<sup>2</sup> (2), 90 mg/m<sup>2</sup> (2)
- Primarily in combination with decitabine (n=5 in decitabine Arm vs n=1 in LDAC Arm)
- CR/CRi rate was 31% (5/16) in patients treated with onvansertib 27-90 mg/m<sup>2</sup> in combination with decitabine

### ► **Pharmacodynamic and biomarker analysis:**

- Onvansertib-plasma inhibitory activity was observed with all doses and positively correlated with increasing doses
- Target engagement in circulating blasts was observed in a subset of patients and was associated with an increase in response to treatment as measured by decrease in BM blasts and rate of CR/CRi

### ► **Phase 2: enrolling**

- is enrolling and will include 32 patients to further assess the safety, efficacy, target engagement and correlation with response of onvansertib 60 mg/m<sup>2</sup> in combination with decitabine

<sup>1</sup>Zeidan A et al., ASH 2019; Abstract #230

# Corporate



# Strong Patent Portfolio

## ► **Core Technology: 3 Issued Patents to 2030 in US, Europe and Asia with extension to 2035 in US**

- Compound (onvansertib): US 8614220
- Salt forms of onvansertib: US 8648078
- Combinations with anti-neoplastic compounds: US 8927530

## ► **Evergreening: Combination Therapy**

- Exclusive license from MIT for 2 US issued patents with broad method claims for combination of PLK inhibitor + anti-androgen compounds to treat any cancer
  - US 9566280, US 10155006; Expiration 2035

## ► **Evergreening: Biomarkers**

- Method for assessing PLK1 target phosphorylation status for identifying patients to be treated with Plk1 Inhibitors
  - PCT US1948044, Expiration 2039
- Method for treating patient with a PLK inhibitor when there is a PSA rise
  - Provisional, Expiration 2040

# Business Development Strategy

## Objective: Joint Development and Commercialization Partnerships

- ▶ Financial and clinical support for company-sponsored and/or investigator sponsored (IST) studies
- ▶ Maintain rights in North America in part or in whole
- ▶ Co-develop and/or out-license specific indications in Japan and Europe
- ▶ Optimize development timelines while efficiently managing resources, internal and outsourced

## Co-Research Collaborations

- ▶ [MIT](#) to evaluate combination of Onvansertib with androgen receptor signaling inhibitors; identification of mechanism of action
- ▶ [Nektar Therapeutics](#) to evaluate onvansertib in combination with NKTR-102 in colorectal cancer

## Partnering Strategy

- ▶ Successful partnership with US pharma/biotech for co-development
- ▶ Successful partnership with Japan Pharma for co-development and/or out-licensing

# Financials

## Capital & Clinical Research Commitment in 2020

- \$6.4 million

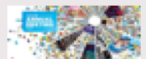
## Quarter Ending Cash and Cash Equivalents

- Q2'19 = \$10.8M
- Q3'19 = \$ 9.0M
- Q4'19 = \$10.2M
- Q1'20 = \$ 9.3M

## Estimated Quarterly Cash Burn

- ~\$4.0M

# 2020 Key Inflection Points

| 1H2020 Key Inflection Points                         | Event                                                                                           | Timing                                      |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------------|
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | ASCO-GI      | January 25 <sup>th</sup>                    |
| Prostate Cancer: Phase 2 Efficacy Data               | ASCO-GU      | February 13 <sup>th</sup>                   |
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | AACR         | April 27 <sup>th</sup>                      |
| Colorectal Cancer: Phase 1b Safety and Efficacy Data | ASCO         | May 29 <sup>th</sup> – June 2 <sup>nd</sup> |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | EHA          | June 11 <sup>th</sup> – 14 <sup>th</sup>    |
| Acute Myeloid Leukemia: Biomarker Data               | AACR         | June 22 <sup>nd</sup> – 24 <sup>th</sup>    |
| 2H2020 Key Inflection Points                         | Event                                                                                           | Timing                                      |
| Prostate Cancer: Phase 2 Efficacy Data               | ESMO         | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Colorectal Cancer: Phase 2 Efficacy Data             | ESMO         | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | ESMO        | Sept. 18 <sup>th</sup> – 22 <sup>nd</sup>   |
| Prostate Cancer: Phase 2 Efficacy Data               | EMUC       | Nov. 12 <sup>th</sup> – 15 <sup>th</sup>    |
| Colorectal Cancer: Phase 2 Efficacy Data             | ESMO Asia  | Nov. 20 <sup>th</sup> – 22 <sup>nd</sup>    |
| Acute Myeloid Leukemia: Phase 2 Efficacy Data        | ASH        | Dec. 5 <sup>th</sup> – 8 <sup>th</sup>      |

# Thank You

for more information contact: [ir@cardiffoncology.com](mailto:ir@cardiffoncology.com)

